

**Appendix B**

**All Risks - Port Health & Environmental Services Committee**

DBE/TPCL/1 Department of the Built Environment / Transportation & Public Realm / Cleansing

Major contractor goes into liquidation before selling business as a going concern

Major contractor goes into liquidation before selling business as a going concern

Unmitigated ... Impact 4 Likelihood 2 Risk 17

Control Effectiveness G 

Detailed Risk(s)

Specific Risk	Mitigation
Disruption of Commercial waste collections	Redirect existing resources to manage the service in house pending procurement of new contract.
Disruption to household refuse collection services	Redirect existing resources to manage the service in house pending procurement of new contract.
Disruption to vehicle maintenance, including to City of London Police vehicles)	Redirect existing resources to manage the service in house pending procurement of new contract.
Disruption to street cleansing	Redirect existing resources to manage the service in house pending procurement of new contract.
Generally	Weekly contract management meetings; Monthly strategic and quarterly Board meetings to monitor contract. Continue to monitor major contractor accounts.

Mitigated ... Impact 4 Likelihood 2 Risk 17

**Further Action** Under Review

**Control Effectiveness**

The overall effectiveness of controls is assessed on a R-A-G scale as follows:

<b>Red</b>	Existing controls are not satisfactory
<b>Amber</b>	Existing controls require improvement or mitigating controls identified but not yet implemented fully
<b>Green</b>	Robust mitigating controls are in place with positive assurance as to their effectiveness

**A fatal road accident**

An accident involving a Member/employee/contractor on City of London business leading to a fatality. Possibility of a corporate manslaughter charge being brought against the City of London.

Unmitigated ... Impact 4 Likelihood 3 Risk 18

**Control Effectiveness**

**A**

## Detailed Risk(s)

Specific Risk	Mitigation
Insurance incorrect	Implement policy
Staff driving whilst under stress or overly tired.	Implement policy
Incorrect driving licence	Systematic checking procedure now partly in place
Vehicle inadequately maintained	Implement policy

Mitigated ... Impact 4 Likelihood 2 Risk 17

**Further Action** Complete implementation of driving licence checking procedure following adoption of new Corporate Transport Policy.

**Control Effectiveness**

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**Service failure by major contractor**

Some aspect of service delivery could persistently fail to meet expectations either because tendered method statement unable to cope with actual needs or because the needs have changed since tendering.

Unmitigated ... Impact 3 Likelihood 4 Risk 16

**Control Effectiveness** G

Detailed Risk(s)

Specific Risk

Mitigation

To be identified through contract monitoring, including Partnership Board.

Contract monitoring, including use of KPIs and quarterly Board Meeting

Mitigated ... Impact 3 Likelihood 2 Risk 10

**Further Action** Under Review

**Control Effectiveness**

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Prohibition notice served on Cleansing fleet

Fleet owned and maintained by Enterprise

Unmitigated ... Impact 4 Likelihood 1 Risk 11

Control Effectiveness G

Detailed Risk(s)

Specific Risk

Mitigation

Fleet not available for use

CoL Managers who are also CPC holders take over transport management using hired vehicles.  
Always ensure that at least one CoL Manager is appropriately professionally qualified and training is up to date.

Mitigated ... Impact 3 Likelihood 1 Risk 6

**Further Action** Under Review

**Control Effectiveness**

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A major incident, such as flooding or fire, makes Walbrook Wharf unusable as a depot

This could have several causes such as natural disaster, accident or terrorism/riot

Unmitigated ... Impact 3 Likelihood 2 Risk 10

Control Effectiveness

A

#### Detailed Risk(s)

Specific Risk	Mitigation
Flooding	Complete Risk Assessed improvements
Serious fire	Good detection and protection systems in place
Transfer station inoperable	Contingency disposal plans exist for City waste
Vehicle workshop out of action	Contractor has alternative workshops

Mitigated ... Impact 2 Likelihood 2 Risk 5

**Further Action** Continuity plans (including the waste and cleansing contractor plans) to be reviewed and updated. Cost benefit of insuring this risk to be explored.

#### Control Effectiveness

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## City Streets/pavements not kept passable during times of snow

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Unmitigated ... Impact 4 Likelihood 1 Risk 11

Control Effectiveness G

## Detailed Risk(s)

Specific Risk	Mitigation
Weather shifts	Use of local weather forecasts
Staff unprepared	Training programme in place
Shortage of salt	Salt stock owned and replenished by the City.
Pavements more difficult to treat	Salt sprinklers added to other equipment
Business within the City inhibited by uncleared streets or pavements	Contract with Enterprise. Use of other Departmental/contractor resources.

Mitigated ... Impact 2 Likelihood 1 Risk 3

**Further Action** Under Review**Control Effectiveness**

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